

Lincolnshire Police

Policy Document



Risk management policy PD 122

Policy document information

Reference number:	PD 122
Policy sponsor:	DCC
Policy owner:	Head of Strategic Development – Kelly Rodgers
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Publication date:	July 2025
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Version history

Version	Date	Reason for issue
11	June 2020	Biennial Review
12	June 2022	Biennial Review
13	July 2023	Update of Appendix 2 and review of policy
14	July 2025	Biennial Review

Code of Ethics

All staff involved in carrying out functions under this policy and associated procedures and appendices will do so in accordance with the principles of the Code of Ethics. The aim of the Code of Ethics is to support each member of the policing profession to deliver the highest professional standards in their service to the public.

Legislative compliance

This document has been drafted to comply with the principles of the Human Rights Act. Proportionality has been identified as the key to Human Rights compliance, this means striking a fair balance between the rights of the staff and those of the rest of the community. There must be a reasonable relationship between the aim to be achieved and the means used.

Equality and Diversity issues have also been considered to ensure compliance with the Equality Act 2010 and meet our legal obligation in relation to the equality duty. In addition, Data Protection, Freedom of Information and Health and Safety Issues have been considered. Adherence to this policy or procedure will therefore ensure compliance with all relevant legislation and internal policies.

Other legislation/law which this policy has been drafted to comply with:

- [Human Rights Act 1998 \(in particular A.14 – Prohibition of discrimination\)](#)
- [Equality Act 2010](#)
- [Crime and Disorder Act 1998](#)

- [H&S legislation](#)
- [Data Protection Act 2018](#)
- [Freedom Of Information Act 2000](#)

Security classification

Policy to be published on Intranet: Yes

Policy to be published on Force Website: Yes

Authorised Professional Practice (APP)

This policy has been checked against APP and there is none in relation to the subject matter of this policy however you may find the below link to government management of risk useful:

[Management of risk in government: summary - GOV.UK](#)

1. Policy aims (Purpose)

- 1.1. This policy is necessary to ensure a consistent approach is taken across the force when managing risk, and that business areas and departments make effective use of the risk management process.
- 1.2. The purpose of this policy is to communicate the risk management process across the force in support of the achievement of its strategic objectives.
- 1.3. Effective risk management processes within Lincolnshire Police will ensure the achievement of performance against objectives by contributing to:
 - Better service delivery
 - Increased likelihood of change initiatives being achieved
 - More efficient use of resources
 - Reducing time spent 'fighting' issues
 - Better basis for strategy setting
 - Reduced waste and fraud, and better value for money

- Improved innovation
- Better management of contingency activities

- 1.4. Effective risk management also allows stakeholders to have increased confidence in the force's corporate governance and capability to deliver its services.

2. Policy statement (Key information)

2.1. General Principals

- 2.1.1. Risk Management is vital in the process of recognising potential threats and opportunities in the achievement of force objectives and to ensure they are being effectively managed.
- 2.1.2. Risk is unavoidable and a certain amount of risk taking is inevitable if an organisation is to achieve its objectives.
- 2.1.3. A widely used definition of risk comes from the International Organisation for Standardisation (ISO, 2009) which states that risk is: 'The effect of uncertainty on objectives.'
- 2.1.4. The overriding purpose of risk management is to help the force to identify, understand and manage its risks and opportunities, and thereby increase the likelihood of achieving its objectives by reducing uncertainty.
- 2.1.5. Management of risk principles produced by the Office of Government Commerce are derived from corporate governance principles in the recognition that risk management is a subset of an organisation's internal controls.
- 2.1.6. The risk management process provides a standardised framework for the whole life management of risk within the force and details the steps needed to form and maintain a risk register.

The force will follow ISO 31000 standard as the basis of our work.

Essentially it is a process used to assess all areas of work in identifying what could go wrong or what could prevent the achievement of objectives.

2.2. Risk Management Framework and Responsibilities

- 2.2.1. Lincolnshire Police has a risk management framework in place that effectively manages risk against its business to ensure it operates under the sound principles of corporate governance and is in line with that adopted by the Office of Police and Crime Commissioner.
- 2.2.2. The force risk register and processes are owned by the Deputy Chief Constable of Lincolnshire Police with delegated responsibility to the Head of Strategic Development to manage the associated policy and processes on their behalf.
- 2.2.3. The Risk, Policy and Review Officer (RPRO) is responsible for managing the risk management process on behalf of the Continuous Improvement Manager and will maintain the policy and processes on their behalf.
- 2.2.4. Each department and business area will maintain a risk register, as will projects.
- 2.2.5. Risks can be identified by any member of staff working for Lincolnshire Police and should be formally raised through the correct channels, feeding into the risk management process at the appropriate risk level: Force, Department/Business Area, Programme/Project or Operational.
- 2.2.6. It is the responsibility of all heads of departments and business areas to ensure they manage risks within their own areas of authority. They are responsible for identifying and accepting risks in their individual business areas and for assessing them in terms of their impact and probability of occurring. This is determined by using the Force Risk, Impact and Probability Matrices.
- 2.2.7. If a departmental head feels they can no longer adequately mitigate or control the risk and it may prevent the force from achieving its objectives it can be presented to the Risk Management Board and if approved, it will be transferred to the Force Risk Register.

- 2.2.8. If a risk is of a confidential nature, it will be transferred to the confidential risk register and managed through the confidential risk management process.
- 2.2.9. Acknowledging risks in advance places the force in a strong position to address them and allows for the implementation of control measures prior to the risk occurring. This often results in saving significant resources and elevated levels of public satisfaction.
- 2.2.10. Managers at all levels should be aware of the risks they face as part of their business so they can manage the effects of these risks through mitigation or, realise the benefits or opportunities they present.
- 2.2.11. In implementing risk management, the force has produced a Risk Management Strategy (see Appendix 1) which details the roles and responsibilities of those involved in undertaking risk management in the force. It also follows a set standard and process for risk management to ensure a consistent approach is adopted across the whole organisation.
- 2.2.12. The Risk Management strategy is published on the force intranet and should be used by practitioners as the source of guidance for managing risks.

3. Other related documents and appendices

- 3.1. Appendices.
- Appendix 1 - [Risk Management Strategy and Guidance - Intranet](#).
 - Appendix 2 - Information Risk Appetite Statement 2025.

4. Monitoring and review

- 4.1 This policy will be reviewed on a biennial basis as part of the risk management process review.
- 4.2. It will also be reviewed in the event of any changes to force structure, processes and developments that will affect the risk management

procedure. Further changes will also be made in the event of any reviews or inspections that are carried out.

5. Who to contact about this policy

- 5.1. This policy is owned by the Head of Strategic Development. Any enquires about this policy should be directed to the Risk, Policy and Review officer, Dave Hull, 07554340047, david.hull@lincs.police.uk

